

EXECUTIVE SUMMARY

Office for Domestic Preparedness (ODP) Federal Fiscal Year (FFY) 2003 Supplemental Urban Areas Security Initiative Grant Program II (UASI II)

Amount: \$18,186,668
Match Requirement: None
Performance Period: 24 months

Overview: The Urban Areas Security Initiative (UASI) seeks to enhance and quantify the Nation's preparedness to combat terrorism. Selected urban areas will receive UASI II grants to address the unique equipment, training, planning, and exercise needs of large high-threat urban areas. Funding was determined by a formula that combined current threat estimates, critical assets within the urban area, and population density. The Core City and County in Washington State are Seattle and King County. The Urban Area grant components are that:

- States must ensure the selected urban areas take a regional metropolitan area approach to develop and implement the UASI II. ODP intends that the core city and core county, contiguous jurisdictions, mutual aid partners, and state agencies be involved. The contiguous jurisdictions are defined as those having formal mutual aid agreements. A core county is defined as the county within which the core city is geographically located.
- An urban area assessment and strategy development component must be included. The state may use 25% of the total grant, subject to the 80% pass-through requirement, to cover expenses associated with assessment and strategy development, implementation of the UASI II, and to resource immediate needs.
- Funds will be provided directly to the state. The selected urban area will receive a subgrant of not less than 80% of the total award. States may use up to 20% of the award to complement state assets that will provide direct assistance to the urban area in order to prevent, respond to, and recover from any terrorist attack, excluding any administrative costs.
- Critical players include: Law enforcement, emergency medical services, emergency management, fire service, hazardous materials, public works, governmental administrative, public safety communications, healthcare, and public health.

Program Requirements:

- State Administrative Agency Point of Contact (SAA POC) must be designated on 7/7/03 application.
- The urban area, as it will apply to the UASI II program, must be fully defined on 7/7/03 application.
- An Urban Area Work Group must be established and an overview of the structure and membership list, with associated jurisdictions, must be provided with 7/7/03 application.
- An Urban Area Assessment must be conducted by 9/30/03.
- The Assessment will guide the development of a comprehensive Urban Area Homeland Security Strategy. This Strategy is due by 10/31/03.
- A methodology to allocate initial funds (up to 25% of the total award) must be developed to support the assessment and strategy development process and to resource immediate needs. Core city and county concurrence must be obtained and submitted to ODP. If written concurrence is not provided by 7/7/03, these funds will not be released.
- The remaining funds must be allocated by 11/30/03 with written concurrence by the core city and county.
- ODP will develop and administer a National Incident Management System (NIMS). This will provide a consistent approach for federal, state, and local governments to work together in

preparation for, response to, and recovery from domestic incidents. Beginning FFY05 adoption of the NIMS will be required for receipt of ODP grant funds.

- Grantees will initially receive up to 25% of their allocated funds. At least 80% must be passed through within 45 days of release of funds by DHS.
- The Adjutant General is the State Administrative Agent for these grants.

Authorized Expenditure Categories:

- Planning: To pay for certain planning activities associated with the completion of the Urban Area Assessment, development of the Urban Area Homeland Security Strategy, and other planning activities.
- Equipment: For specific equipment acquisitions and measures associated with critical infrastructure security, target hardening, and to share critical information.
- Training: To enhance and develop specific training programs or delivery of ODP courses. Urban area partners should work together to develop sustainable system that will maintain and perpetuate training.
- Exercises: To plan for, design, develop, conduct, and evaluate exercises. Exercises should train emergency responders and assess the readiness of urban areas to prevent and respond to a terrorist attack. Exercises must be threat and performance based.
- Management and Administration: Local governments may use up to 3% of the grant award for administrative costs. States may not use these funds for that purpose, but may use FFY03 State Homeland Security Grant Program I (SHSGP) funds and FFY03 SHSGP II funds to cover such costs.
- Critical Infrastructure Protection: Up to 25% of the gross amount of the award may be used to reimburse specific operational expenses incurred during specific ORANGE threat alert level increase time periods.